

Introduction

The following report contains a detailed breakdown of the projected position of the Local Area for 2021/2022. The report enables members to note the projected outturn position and the significant factors contributing toward the spend. The report covers the following items:

- Projected Outturn Position 2021/2022
- Contextual information regarding Early Years Block
- Contextual information regarding Higher Needs Block
- Position and Recommendations

Projected Outturn Position 2021/2022

Dedicated Schools Grant (DSG) funded activities are currently forecast to **overspend by £2.992m**.

The following table details the main areas of both over and under spend. Many of these budgets are demand led and will be monitored during 21/22 and revisions reported accordingly.

| Budget Heading | Budget | Actuals to date | Projected Outturn | Over / (Under) Spend |
|---|---------|-----------------|-------------------|----------------------|
| Early Years 2, 3 & 4 yr old payments – PVI's & Academies | £5.784m | £2.983m | £5.784m | £0 |
| Early Years – ALFEY | £270k | £187k | £285k | £15k |
| Early Years – Pupil Premium & Disability Access Fund | £133k | £36k | £100k | (£33k) |
| Early Years – 5% retained element | £345k | £159k | £340k | (£5k) |
| Joint Funded Placements | £550k | £296k | £520k | (£30k) |
| Recovery of funding from schools for Excluded Pupils, Medical Tuition Service and Elective Home Education | (£250k) | (£76k) | (£200k) | £50k |
| Independent Special School Fees | £3.100m | £1.070m | £3.172m | £72k |
| Other packages for EHCP pupils and SEND personal budgets | £1.407m | £638k | £1.498m | £91k |
| Payments to / recoupment from other authorities for Special School places | (£260k) | £0k | (£265k) | (£5k) |
| Medical Tuition Service / Virtual School / Hospital Tuition / Vulnerable Students Team | £1.500m | £629k | £1.394m | (£106k) |
| School contingencies (Rates, planned pupil growth, NQT induction etc) | £308k | £256k | £276k | (£32k) |
| EHCP in-year adjustments (see separate paper for details) | £340k | £712k | £856k | £516k |
| Special Schools / High Needs in-year adjustments (see separate paper for details) | £600k | £355k | £600k | £0 |
| School Intervention / Commissioning (includes School Improvement Grant) | £145k | £46k | £105k | (£40k) |
| Business Support | £195k | £98k | £184k | (£11k) |
| Other – including Admissions, EAL / Travellers, Advisory Teachers, SEN contracts | | | | (£90k) |
| Deficit DSG budget set for 21/22 | (£2.6m) | | | £2.6m |
| Total – Forecast Outturn Position 21/22 | | | | £2.992m |

The previous cumulative Dedicated Schools Grant deficit at the end of 2020/2021 was an overspend of £5.826million

The two areas of significant volatility are within the Early Years Block and Higher Needs Block.

Early Years Block

The Early Years Census is currently taking place and School Forum Members will be given details of the take up and breakdown of funding in the November 2021 report.

Higher Needs Block

Torbay continues to have a greater number of children requiring additional support up to and including a special school place than the funds available in the higher needs block can meet.

The following table demonstrates the final position on the EHCP allocation of funding above £6k.

| Education, Health & Care Plan Funding for 20/21 & 21/22 | | | |
|--|-----------------|----------------|----------------------------------|
| | 20/21 | 21/22 | Increase / (Decrease) |
| Number of pupils with EHCP | 470 | 463 | (7.00) |
| Number of FTE's with EHCP | 429 | 407 | (22.00) |
| | £ | £ | £ |
| Funding below £6k allocated through school formula elements | 2,554,879 | 2,426,210 | (128,669) |
| Funding above £6k allocated as a top-up per eligible pupil | 2,122,040 | 2,206,696 | 84,656 |
| EHCP Contingency | 350,000 | 340,000 | (10,000) |
| In-Year adjustments | | | |
| April | 16,946 | 214,516 | 197,570 |
| May | (104) | 92,973 | 93,077 |
| June | (11,737) | 76,491 | 88,228 |
| July | 4,062 | 52,297 | 48,235 |
| August | 42,398 | 32,649 | (9,749) |
| September | 115,109 | 242,698 | 127,589 |
| October | 72,833 | 72,833 | 0 |
| November | 50,539 | 50,539 | 0 |
| December | 16,915 | 16,915 | 0 |
| January | (11,583) | (11,583) | 0 |
| February | 15,276 | 15,276 | 0 |
| March | 0 | 0 | 0 |
| Total - In-Year adjustments | 310,654 | 855,604 | |
| Projected (underspend) / overspend | (39,346) | 515,604 | |
| Notes | | | |
| Based on April 21 - Sept 21 in-year adjustments, and the same allocation for the remainder of the financial year as 20/21, it is anticipated the EHCP contingency will underspend by | | | 515,604 |

The following table demonstrates the numbers of Special School Commissioned Placements and Adjustments.

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| Special School and other High Needs funding adjustments for 21/22 | | | | | | | | | | |
|---|---------------|------------------|------------------------|------------------------|------------------|--------------------|------------------|------------------|---------------|-------------------|
| | Combe Pafford | Combe Pafford | Mayfield School | Mayfield Chestnut | Mayfield Total | Brunel SEMH | Burton AP | B & B Total | Totals | Totals £ |
| Number of places - January 21 | 262 | | 231 | 32 | 263 | 56 | 55 | 111.00 | 636.00 | |
| Number of pupils - January 21 | 255 | | 225 | 32 | 257 | 50 | 50 | 100.00 | 612.00 | |
| Number of places - September 21 | 262 | | 231 | 32 | 263 | 56 | 55 | 111.00 | 636.00 | |
| Initial Place led funding | | 2,620,000 | | | 2,630,000 | 560,000 | 550,000 | 1,110,000 | | 6,360,000 |
| Initial Pupil led funding | | 1,256,417 | | | 2,621,345 | 790,050 | 577,250 | 1,367,300 | | 5,245,062 |
| Initial pupil specific additional funding | | 40,134 | | | 71,198 | 60,270 | | 60,270 | | 171,602 |
| Previously Teachers Pay & Pension Grants | | 172,920 | | | 173,580 | 36,960 | 36,300 | 73,260 | | 419,760 |
| Other funding - Outreach / 6th day provision / rent | | | | | 289,174 | | | 0 | | 289,174 |
| Pupil Premium | | 140,515 | | | 168,830 | 32,470 | 35,335 | 67,805 | | 377,150 |
| Total initial funding | | 4,229,986 | | | 5,954,127 | 1,479,750 | 1,198,885 | 2,678,635 | | 12,862,748 |
| In-Year adjustments | Pupils | Funding £ | Mayfield Pupils | Chestnut Pupils | Funding £ | SEMH Pupils | AP Pupils | Funding £ | Pupils | Funding £ |
| April | 257 | 91,257 | 228 | 32 | 24,375 | 51 | 51 | 27,346 | 619 | 142,978 |
| May | 257 | (3,618) | 229 | 34 | 50,238 | 54 | 53 | 64,619 | 627 | 111,239 |
| June | 253 | (14,830) | 228 | 32 | (29,004) | 54 | 56 | 37,196 | 623 | (6,638) |
| July | 252 | (5,303) | 228 | 31 | (10,005) | 54 | 35 | (181,834) | 600 | (197,142) |
| August | 252 | 0 | 228 | 31 | 0 | 54 | 35 | 0 | 600 | 0 |
| September | 269 | 65,829 | 233 | 32 | 34,927 | 51 | 32 | (47,856) | 617 | 52,900 |
| October | | | | | | | | | 0 | 0 |
| November | | | | | | | | | 0 | 0 |
| December | | | | | | | | | 0 | 0 |
| January | | | | | | | | | 0 | 0 |
| February | | | | | | | | | 0 | 0 |
| March | | | | | | | | | 0 | 0 |
| Total In -year pupil / place led adjustments | | 133,335 | | | 70,531 | | | (100,529) | | 103,337 |
| Enhanced Provision (in-year changes in pupil top-ups) | | | | | | | | | | 29,179 |
| Enhanced Provision (in-year increases in place numbers) | | | | | | | | | | 39,167 |
| Excluded Pupils / 6th Day Provision (Sept - Dec) - Mayfield | | | | | | | | | | 38,000 |
| Excluded Pupils / 6th Day Provision (Jan - Mar) | | | | | | | | | | 28,500 |
| Ocombe House - additional rent - Mayfield | | | | | | | | | | 12,336 |
| In-year pupil specific additional funding | | 10,766 | | | 104,850 | | | (10,800) | | 104,816 |
| Total - In-Year adjustments | | 144,101 | | | 175,381 | | | (111,329) | | 355,335 |
| Special School / High Needs contingency budget | | | | | | | | | | 600,000 |
| Current balance (under) / over | | | | | | | | | | (244,665) |

Position

The final outturn position of the Local Area continues to be of significant concern. The budget for 2021/2022 remains volatile and we need to continue to take all actions to mitigate spend.

The cumulative overspend of the DSG is now £5.826m

The projected cumulative outturn position at the end of 2021/2022 would be £8.818

Recommendation and Decisions

It is requested that Schools Forum:

1. Note the final outturn position and continue to work with the Local Authority through the mechanism of the Higher Needs Recovery Group to enact mitigations to reduce budget spend in the year 2021/2022..

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